

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicants : Walter Keith Jones  
Serial No. : 10/596,513  
Filed : December 16, 2008  
Title : **OLIGONUCLEOTIDE DECOYS AND METHODS OF USE**  
Docket : 10738-103  
Examiner : Wu Cheng Winston Shen  
Art Unit : 1632  
Confirmation No. : 7508

**DECLARATION UNDER 37 CFR §1.131**

Sir:

I, Walter Keith Jones, declare and state:

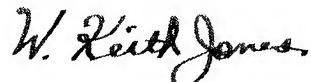
1. I am the inventor of the above-identified patent application.
2. I am familiar with the Office Action mailed July 7, 2011, including the rejections made by the Examiner therein. I am also familiar with Dzau et al., United States Patent Application Publication US 2003/0186922 (hereafter, "Dzau"), which was cited by the Examiner against the above-identified patent application. Dzau first published October 2, 2003.
3. On a date prior to October 2, 2003, I conceived of the subject matter of claims 1-17 and 19-33 of this patent application. All of the acts reported below were carried out in the United States.
4. On December 19, 2003, I constructively reduced this invention to practice by filing a provisional patent application with the United States Patent & Trademark Office (USPTO). That application was given serial number 60/531,399 by the USPTO. At least from a time prior to October 2, 2003, the publication date of Dzau, until December 19, 2003, the U.S. filing date of the instant application, I was diligent in my efforts to pursue patent protection. Due diligence in reduction to practice is evidenced by the following acts carried out by myself or by others working under my direction and control:

5. On May 5, 2003, I discussed construction of the oligonucleotide decoy concatemers with my lab group, as evidenced by notes made in a lab notebook recording a summary of our meeting (Exhibit A).

6. On June 13, 2003, Dr. Suiwen He, working under my direction, placed orders for the first oligonucleotide starting materials to begin construction of the decoys, as evidenced by the order forms dated June 13, 2003 (Exhibit B).

7. From the time the oligonucleotide starting materials were received, until the date of our constructive reduction to practice by virtue of the filing of U.S. Provisional Application 60/531,399 on December 19, 2003, Dr. Suiwen He continued to work, under my direction and control, on constructing the oligonucleotide decoys of the instant claims, as evidenced by an email sent to me by Dr. He on November 5, 2003, providing a status update (Exhibit C).

8. Further, I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application and any patent issued thereon.



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Walter Keith Jones

# EXHIBIT A

cell transfection

0.01 mg/ml: smallest particle  
made

We need  $\frac{30 \mu\text{g}}{30 \text{ mg/ml}} \times 1 \mu\text{g}$  of  $\frac{1 \mu\text{g}}{\text{large size PUA}}$

$300 \mu\text{g} \text{ nm}^3$   $\rightarrow$  large size PUA  $\rightarrow$  5-9002 plasmid

↳ a Rhodamine → green  
blue  
pink  
blue

Polymer sitting in the cell.  
Labeling Polymer / DSC

{ PCR } { the concatemer }

2. I'll do : { engineering concatemer }  
light scattering

1) Toxicology

2) Concatemer wrapped by Polymer

3) Cell Culture. ~~H9c2~~ cardiac cell.

4)

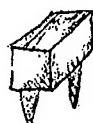
$\text{cm}^2$

-from

5-5-03: Lab Meeting:

TNF- $\alpha$   $\rightarrow$  JNK.

{ P38 antibody.  
erk



Western:

{ ① 2M. pc. mRNA again  
small infarct region  
② Protein made or not.

Cat:



{

iNOS Western: Bollig R.

Guo: X10/paper {

mouse iNOS  $\uparrow$  3 fold  $\leftrightarrow$  WT/pc after 24 hr.

{ make PCR on groups having @ too much variation (2 groups)

{ A.G. }

{ Western } { iNOS }

Antibody

① Gogtial Tanduruthi

② Santa Cruz, Cruz.C.M.

Finish Western.

iNOS  
antibody.

Transduction Laboratory.

Santa

5-12-03: Lab Meeting: Theresa M. Reineke  
Department of Chemistry.

Nonviral Vectors:

No limit to gene size.

Polyplexes (R� + DNA)

20  $\sim$   $< 300$  nm

Lipoplexes (Lipid + DNA)

endosome DNA through nucleus membrane.

{ chitosan } / PEI mixture.

PEI: toxic

Chitosan: non-toxic

# EXHIBIT B

# University of Cincinnati DNA Core

2302 Medical Sciences Building  
Mail Location: 0524  
Cincinnati, Oh 45267-0524

WWW.MOLGEN.UC.EDU/DNACORE/INDEX.HTM  
Phone: 513-558-5520  
Fax: 513-558-8474

User Name: SUIWEN HE/W. KEITH JONES

Phone: 558-2356

Department: PHARMACOLOGY

Synthesis Date: 6/13/2003

Email address: HESN@EMAIL.UC.EDU

Lab Location: CVC 5940

Fund/PO #: PHARMACOLOGY

## Options Selected

Selected Scale:

- 10 nmol DNA     repurify  
 40 nmol DNA     0.2 umol RNA  
 0.2 umol DNA     1.0 umol RNA  
 1.0 umol DNA

Purification:

- none  
 10 nmol desalt  
 desalting  
 gel purify

Oligo Id #: 77969 : TANDEM-NFS

Length 38nt

Sequence:

5'> CCGGAATTCCCTTGAAGGGATTTCCCTCCGGATCCGCG

in -20°C  
this run  
6/16/03  
MB

Molec. Weight: 12391.6 g/mol Tm 122°C Previous ID #

Previous weight

## \*\*\*\*\* Analysis Results \*\*\*\*\*

## \*\*\*Cost Summary\*\*\*

Stepwise Yield: 98.7 %

Cost of Oligonucleotide: \$45.60

Overall Yield: 61.1 %

Cost of Purification: \$0.00

Amount of DNA:  
(in eppendorf tube) 954.03 ug

Specialty fee:

Ratio A260/A280: 1.316

Shipping

Column Lot #: G-MEM

Total Charge: \$45.60

We offer online ordering! Save yourself a trip to the DNA Core.  
And remember...we deliver!

# University of Cincinnati DNA Core

2302 Medical Sciences Building  
Mail Location: 0524  
Cincinnati, Oh 45267-0524

WWW.MOLGEN.UC.EDU/DNACORE/INDEX.HTM  
Phone: 513-558-5520  
Fax: 513-558-8474

User Name: SUIWEN HE/W. KEITH JONES

Phone: 558-2356

Department: PHARMACOLOGY

Synthesis Date: 6/13/2003

Email address: HESN@EMAIL.UC.EDU

Lab Location: CVC 5940

Fund/PO #: PHARMACOLOGY

## Options Selected

Selected Scale:

- 10 nmol DNA     repurify  
 40 nmol DNA     0.2 umol RNA  
 0.2 umol DNA     1.0 umol RNA  
 1.0 umol DNA

Purification:

- none  
 10 nmol desalt  
 desalting  
 gel purify

Oligo Id #: 77970 : TANDEM-NFA

Length 38nt

Sequence:

5' > CGCGGATCCGGAGGGAAATCCCTTCAAGGGAAATTCCGG

Molec. Weight: 12586.6 g/mol Tm 122°C Previous ID #

Previous weight

## \*\*\*\*\* Analysis Results \*\*\*\*\*

## \*\*\*Cost Summary\*\*\*

Stepwise Yield: 99.6 %

Cost of Oligonucleotide: \$45.60

Overall Yield: 87.7 %

Cost of Purification: \$0.00

Amount of DNA:  
(in eppendorf tube) 1115.07 ug

Specialty fee:

Ratio A260/A280: 1.544

Shipping

Column Lot #: G-MEM

Total Charge: \$45.60

We offer online ordering! Save yourself a trip to the DNA Core.  
And remember...we deliver!

# **EXHIBIT C**

Date: Wed, 5 Nov 2003 21:35:55 -0800 (PST)  
From: Suiwen He <suiwenhe@yahoo.com>  
Subject: Re: Thurs  
To: Keith Jones <joneswk@uc.edu>  
MIME-Version: 1.0

Boss,

I am leaving on Thursday and back to lab on Nov. 18  
as I will go to Washington DC and Baltimore for  
several days after the meeting.

I did the acrylamide gel to purify the annealed  
decoy after RI/BamHI digetstion and it looked good. I  
also showed Maria about the gel. I gel purified it and  
also the vector part. They are both ready to go after  
I come back for ligation.

My cell phone is 513-237-9801. There seem to be a  
lot of interesting topics in the meeting as I wnet  
over the infor.

Please call me if you have any quesionsnts aobut the  
lab. I will bring back the 12 copies of CT journal.

S. He

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Suiwen He, M.D., Ph.D., Postdoctoral Fellow  
Department of Pharmacology and Cell Biophysics  
University of Cincinnati College of Medicine  
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CVC Bldg, Rm 5940  
Cincinnati, OH 45267-0575  
(513) 237-9801 (Cell), (513) 558-2356 (Lab and Office)

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